

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: July 2004 ( .07.2004) \
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known): Not Yet Assigned <b>104501217</b>
INTERNATIONAL APPLICATION NO.: PCT/CH2003/000019	INTERNATIONAL FILING DATE: 15 January 2003 (15.01.2003)	PRIORITY DATE CLAIMED: 15 January 2002 (15.01.2002) CH
TITLE OF INVENTION: <b>LINE ILLUMINATION</b>		
APPLICANT(S) FOR DO/EO/US: <b>JENNY, REINHARD</b>		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f) The submission must include items(5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 40px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p>TRANSMITTAL FORM; FEE CALCULATION; INTERNATIONAL PUBLICATION NO. WO 2004/006560 A1 WITH SEARCH REPORT AND INTERNATIONAL PUBLICATION DATE 15 JANUARY 2004 WITH ENGLISH TRANSLATION WHICH CONSISTS OF 2 COVER SHEETS CONTAINING THE ABSTRACT, 3 PAGES TEXTUAL SPECIFICATION, 1 PAGE OF 6 CLAIMS; 3 SHEETS OF DRAWINGS; PCT/IB/338 NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT; PCT/IPEA/409 ENGLISH TRANSLATION OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT; EXECUTED INVENTOR'S DECLARATION; EXECUTED ASSIGNMENT AND RECORDATION COVER SHEET; INFORMATION DISCLOSURE STATEMENT; PTO FORM 1449 CITING 4 REFERENCES; PCT REQUEST.</p>		

U.S. APPLICATION NO. (if known) not yet assigned <div style="font-size: 2em; font-weight: bold;">10/501217</div>	INTERNATIONAL APPLICATION NO. PCT/CH2003/000019	DATE: <u>12</u> July 2004 ( <u>12</u> 07.2004)
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17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO:.....\$920.00  International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$730.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$770.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1080.00  International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) IPEA-U.S.....\$ 100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>	<u>CALCULATIONS</u>  \$ 920.00        \$ 920.00	<u>PTO USE ONLY</u>
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Surcharge of \$130.00 for furnishing the oath or declaration later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$	
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CLAIMS	NO. FILED	NO. EXTRA	RATE		
TOTAL	6 -20=	0	X \$ 18.00	\$	0.00
INDEPENDENT	1 - 3=	0	X \$ 86.00	\$	0.00
Multiple dependent claims(s) (if applicable)			+ \$290.00	\$	0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	920.00
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$	460.00
<b>SUBTOTAL =</b>				\$	0.00
Processing fee of \$130.00 for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0.00
<b>TOTAL NATIONAL FEE =</b>				\$	460.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	40.00
<b>TOTAL FEES ENCLOSED =</b>				\$	500.00
				Amount to be:	
				refunded	\$
				charged	\$

ATTORNEY'S DOCKET NO: 26093

U.S. APPLICATION NO. (if known, not yet assigned) <b>10/501217</b>	INTERNATIONAL APPLICATION NO. <b>PCT/CH2003/000019</b>	DATE: <b>12</b> July 2004 ( <b>12</b> 07.2004)
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a. ☒ Two checks in the aggregate amount of \$ 500.00 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$ \_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.


d. Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form. ☐ Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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10/501217

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JENNY, Reinhard

International Application No. PCT/CH2003/000019

Serial No. NOT YET ASSIGNED

International Filing Date: 15 January 2003 (15.01.2003)

Filed: July 12, 2004

For: **LINE ILLUMINATION**

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

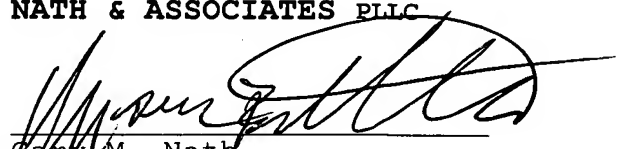
Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter
- (2) Transmittal Letter To U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Publication No: WO 2004/006560 A1 with search report and International Publication Date: 15 January 2004 (15.01.2004) with English translation which consists of 9 pages including:
  - 2 cover sheets containing the Abstract,
  - 3 pages Textual Specification,
  - 1 pages of 6 claims,
  - 3 sheets drawings;
- (4) PCT/IB/338 Notification of Transmittal of International Preliminary Examination Report;
- (5) PCT/IPEA/409 English Translation of International Preliminary Examination Report;
- (6) Executed Inventor's Declaration;
- (7) Executed Assignment and Recordation Cover Sheet;
- (8) Information Disclosure Statement;
- (9) PTO Form 1449 citing 4 references;
- (10) PCT Request;
- (11) Check No. 21318 \$ 460.00 for Government Filing Fee as a small entity;
- (12) Check No. 21319 \$ 40.00 for recordation fee;
- (13) Postcard for early notification of serial number.

Respectfully submitted,

**NATH & ASSOCIATES PLLC**

By:

  
Gary M. Nath

Registration No. 26,965  
Marvin C. Berkowitz  
Registration No. 47,421  
Customer No. 20529

Date: July 12, 2004  
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